

FACTS	WHAT DOES SONATA CAPITAL GROUP INC. (“SONATA”) DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ Social Security number and other personal information ■ income level and net worth ■ investment history and investment goals <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>	
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Sonata chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information Does Sonata share? Can you limit this sharing?		
For our everyday business purposes — such as to process transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes — to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes — information about your creditworthiness	No	We don’t share
For our affiliates to market to you	No	We don’t share
For non-affiliates to market to you	No	We don’t share
Questions? Call 206.256.4400 or visit www.sonatacap.com		

Who we are	
Who is providing this notice?	You are receiving this notice from Sonata Capital Group Inc.
What we do	
How does Sonata protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures, which include computer safeguards and secured files and buildings.
How does Sonata collect my personal information?	We collect your personal information, for example, when you: <ul style="list-style-type: none"> ■ Open an account or deposit funds
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <p>Sonata does not have any affiliates.</p>
Non-affiliates	Companies not related by common ownership or control. They can be financial and non-financial companies. Sonata does not share with non-affiliates except in certain circumstances: <ul style="list-style-type: none"> ▪ As required by regulatory authorities or law enforcement officials who have jurisdiction over Sonata, or as otherwise required or permitted by an applicable law; ▪ Our non-affiliated business partners include custodians of your assets, Redtail Technology, Advent/Axys;and Carbonite. ▪ To the extent reasonably necessary to prevent fraud and unauthorized transactions.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <p>Sonata doesn't jointly market.</p>